

NGĀTI MUTUNGA O WHAREKAURI IWI TRUST

ANNUAL PLAN

2013-2014

Ngāti Mutunga o Wharekauri Iwi Trust

ANNUAL PLAN

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1. EXECUTIVE SUMMARY

Welcome to the annual plan for the Ngāti Mutunga o Wharekauri Iwi Trust.

This document is a planning instrument that sets objectives for the Iwi Trust to achieve during the 2013-2014 financial year. These objectives set a clear direction for the Iwi Trust and provide a benchmark for accountability and performance measurement. The plan is a requirement under the Maori Fisheries Act 2004 (“the Act”) and a means of communicating Ngāti Mutunga o Wharekauri Iwi Trust intentions to trustees and beneficiaries.

The Iwi Trust has made good progress during the course of the 2012/13 financial year objectives, and this is set to accelerate in the current year. Our cultural support programme is well established and is highlighted by our Ruia Kākanō reo programme, our mau rākau programme, and our Kaumātua tautoko programme. We are also focused on pushing forward on bringing our Ngāti Mutunga marae to fruition and to continue work on strengthening our whakapapa and tīpuna base for the Iwi Trust.

Securing full time management support and office capability will enable the Iwi Trust to effectively secure its position on the island and within New Zealand. The ability to provide quick response to on-island requirements will be enhanced as a result.

The Iwi Trust remains firm in its view that a unified and single representative voice should be put forward for mandate. We will work to deliver on the clear message received from the Iwi in relation to how it is represented. This is a subset of a broader push to enhance the representational work of the Iwi Trust both on-island, and within Aotearoa.

It is apparent the move to Mandate is all but ready to proceed. Whilst there are still some issues to iron out, the Iwi has given a clear indication of its desire to be finalising mandate by July 2013. Continuing the close relationship with the Crown through Te Puni Kōkiri should see this achieved.

Consolidation of the Ngāti Mutunga asset base continues in the 2013/14 financial year. The pathway forward is now being consolidated into a 3 to 5 year Strategic Direction. The Trust is keen to see on-island economic initiatives investigated across a variety of investment vehicles that will see opportunities for Chatham Islanders to take charge of their economic future. This initiative is still under development and will require close consultation with;

- The Asset Holding Company
- Ngāti Mutunga o Wharekauri

Organisational discipline remains a fundamental focus for the Iwi Trust although this is tempered by the need to increase some expenditure to ensure the programme of delivery, and Iwi representation are facilitated.

The Iwi Trust has set itself an ambitious programme of increased activity, increased distributions, cultural development programmes, enhanced AHC performance, and increasing the voice of those it represents. Whanaungatanga underpins the focus for the coming year in relation to the people it serves.

2. INTRODUCTION

This annual plan is required for the Ngāti Mutunga o Wharekauri Iwi Trust and its Asset Holding Company under their respective Trust deeds, constitutions and the Maori Fisheries Act 2004.

Iwi Trust Annual Plan

This must include:

- Objectives.
- The policy in respect of sales and exchanges of Settlement Quota.
- Any changes in that policy from the previous year.
- Any proposal to change the constitutional documents of any Asset Holding Company, fishing enterprise, or other corporate entity.

Asset Holding Company

This must include:

- Key strategies for the use and development of Ngāti Mutunga o Wharekauri fisheries assets.
- The expected financial return on those assets.
- Any programme to manage the sale of ACE derived from the Settlement Quota or to buy, sell or otherwise re-organise the settlement quota.

There may be other areas the Trustees and Directors wish to include in these plans, for example detail on a specific cultural activity or event. It is anticipated the content will grow as the Trust's activities and resources grow.

3. OBJECTIVES OF THE ANNUAL PLAN

As part of the Trustees exercise of strategic governance over the Trust and its assets a number of objectives have been developed for the 2013-2014 year. These include, but are not limited to, the following:

Sector	Objective	Measures/Targets	Priority Assessment Rating (see bottom of table)	Link to Budget	Responsibility
Effective Governance	1. Assist Board to increase capacity by developing and implementing an effective governance programme.	<ul style="list-style-type: none"> a. Governance training and advice provided to Board. b. New Board Members are inducted 	<p style="text-align: center;">1</p> <p>Good governance practices underpin all the Trust is about. The effective development of trustees skills is a critical requirement for effective service to the Iwi</p>	<p>Good Governance links to Governance Costs are:</p> <ul style="list-style-type: none"> ✓ Conference & Workshops ✓ Board Advice & Support 	Trust Management
Treaty of Waitangi Settlement	2. Work with Ngāti Mutunga o Wharekauri whānau to establish a unified and singular voice.	<ul style="list-style-type: none"> a. Assist the Trust to achieve Mandate on behalf of Ngāti Mutunga o Wharekauri Iwi b. Develop a robust mandate strategy with Crown c. Create Claims Committee and guiding documents d. Conduct appropriate hui with Ngāti Mutunga o Wharekauri beneficiaries e. Secure mandate funding for the Iwi Trust to assist Ngāti Mutunga o Wharekauri to conclude its Treaty Settlement negotiations f. Ensure close co-operation with Hokotehi Moriori Trust in relation to the mandating (and settlement process) g. Maintain close working relationships with OTS/TPK and the Minister of Treaty Settlements in relation to the settlement. 	<p style="text-align: center;">2</p> <p>Trustees are mindful of the directive from beneficiaries to complete the mandate process. Trustee's ability to progress mandate is impacted by events and processes outside their control hence the '2' rating.</p>	<p>All wind up and mandating costs are currently held as contingent liabilities in the balance sheet.</p> <p>Mandating expenses are recognised in the budget as an 'off P&L' expense that is contingent on OTS mandate funding.</p>	Trustees Trust Management

Sector	Objective	Measures/Targets	Priority Assessment Rating (see bottom of table)	Link to Budget	Responsibility
Identity of Ngāti Mutunga o Wharekauri	3. Ensure the mana and tikanga of Ngāti Mutunga o Wharekauri is maintained and interwoven into the fabric of Chatham Island life.	a. Continue 3 year reo and Iwi development plan with Te Reo o Taranaki and Ministry of Education	1 The revival of our reo and the reinforcement of our tikanga is of the utmost priority. The measures outlined must be fully operational in this financial year. Our Kaumātua are our Kaitiaki. Our tikanga requires our ongoing support for them.	Ruia Kakano matters link to Distribution Costs are: ✓ Mau Rakau ✓ Reo Wananga	Trust Management
		b. Six reo wānanga run c. Three Mau Rākau programmes are completed d. Regular communication with Kaumātua on matters of cultural significance e. Ensure at least 3 pūtea whanāungatanga funding rounds occur		Kaumātua support links to Distribution Costs: ✓ Tautoko Kaumātua.	Trust Management
Ngāti Mutunga o Wharekauri Marae	4. Progress action on the development of a suitable wharenuī and marae for Ngāti Mutunga o Wharekauri	a. Establish close working relationship with Maori committee b. Develop Marae concept plan for consultation through working group to work alongside Kaumātua and the Maori Committee c. Undertake one consultation hui undertaken to discuss marae concept for Ngāti Mutunga o Wharekauri d. Concept plans are completed	3 Marae stand as the focal point of any Iwi group. Ngāti Mutunga does not have Tīpuna whare of its own. This has been a continuing priority for our Iwi.	Marae matters link to Distribution Costs are: ✓ Beneficiary Hui	Iwi Trust Board
Effective Communications	5. Continue to improve quality and effectiveness of engagement with Iwi Members	a. Bi-annual hui updates (including AGM) on Wharekauri are completed which will see local representatives taking the active lead in facilitating the	1 Enhancing communications with our Iwi ensures a successful and vibrant people.	Enhancing communications links to Distribution Costs: ✓ Website, pānui,	Trust Board / Management

Sector	Objective	Measures/Targets	Priority Assessment Rating (see bottom of table)	Link to Budget	Responsibility
		<ul style="list-style-type: none"> hui b. Three Kaumātua get together are completed c. 3 x Pānui are completed. d. Bi-monthly email updates e. Continuing focus on Facebook / website communications 		<ul style="list-style-type: none"> and annual report ✓ Beneficiary Hui ✓ Tautoko Kaumātua 	
Representation	6. Continue to engage local and crown agencies	<ul style="list-style-type: none"> a. DOC protocol formalised with effective working relationship established b. Working relationship and protocols are in place with CIC/CIET c. Ongoing hui undertaken with crown agencies such as MFish, TPK, OTS, DOC, HPT and other relevant agencies d. Provide appropriate Iwi Trust responses to resource management matters including consents and requests for consultation e. Ensure the Iwi Trust is consulted with on all matters relating to the development of infrastructure and economic opportunities on Wharekauri 	<p style="text-align: center;">1</p> <p>The Iwi Trust has an ongoing representational responsibility for the Iwi of Ngāti Mutunga o Wharekauri. And this starts with the Crown. This requires effective relationships are established with Crown Agencies</p>	<p>Representational matters are operating costs linked to:</p> <ul style="list-style-type: none"> ✓ Legal and consultancy fees ✓ Management fees 	Trust Management / Chair
Tribal Footprint	7.	<ul style="list-style-type: none"> a. Eco-cultural tourism, encompassing authenticity, is a major influence area of Ngāti Mutunga – first stage ‘great walk’ initiative is instigated by July 2013. 	<p style="text-align: center;">2</p> <p>The Iwi Trust has an ongoing responsibility to support Ngāti Mutunga o Wharekauri formally</p>	<p>Representational matters are operating costs linked to:</p> <ul style="list-style-type: none"> ✓ Legal and consultancy fees ✓ Management 	Trust Management

Sector	Objective	Measures/Targets	Priority Assessment Rating (see bottom of table)	Link to Budget	Responsibility
		<p>b. Constructive and proactive relationships are actively fostered with whānaunga Iwi including: Ngāti Mutunga ki Urenui, Te Ati Awa ki Whakarongotai, Ngāti Toa, Whanganui-a-Tara iwi by October 2013 – annual meeting with each of the CEOs/GM's of each entity</p> <p>c. There is a measurable Ngāti Mutunga influence upon Government as it impacts Wharekauri.</p> <p>d. Ngāti Mutunga has a major and coordinated say in the fishing industry that is measured by AHC performance and influence at the policy setting table.</p> <p>e. Ngāti Mutunga is the major economic and political force on Wharekauri by 2014.</p>	reignite its whakapapa and strategic links to Northern Taranaki & Kapiti Iwi.	fees	
Asset Management	8. Continue to work closely with the AHC on growing an on-island economic base	<p>a. Work with on-island and crown agencies to review housing requirements</p> <p>b. Consolidation of papakāinga housing opportunities on the back of current housing stock</p> <p>c. Continue to assist the AHC in exploring Wharekauri based investment and economic development opportunities</p>	<p>1</p> <p>The Iwi Trust has developed an effective and profitable asset management approach. It is conservative and relatively risk averse and will stand the test of time. That approach now</p>	<p>Tribal footprint costs are linked to:</p> <ul style="list-style-type: none"> ✓ AHC Fee ✓ Management Fee ✓ Board Advice & Support 	AHC / Trust Management / Trust Board

Sector	Objective	Measures/Targets	Priority Assessment Rating (see bottom of table)	Link to Budget	Responsibility
		d. Capitalise on all concession opportunities available through DOC.	enables the Iwi Trust to consider more closely, on-island investment and development opportunities with its asset managers.		

Priority Assessment Criteria

1. Must be completed by end 2013/14
2. Expected 2013/14 outcome
3. Medium to low chance of 2012/13 completion

4. MONTHLY WORK PROGRAMME OVERVIEW

Month - (incl Trustee Hui Date)	Monthly Tasks (overview)
April – (May 2 nd)	<ul style="list-style-type: none"> Trustee Elections Finalise Annual Plan (AHC / Iwi Trust) Finalise SIPO (AHC)
May – 30 th	<ul style="list-style-type: none"> Treaty Claim Mandating Process Social Housing consultation hui Reo development document Mau Rākau
June – 27 th	<ul style="list-style-type: none"> Finalise Annual Plan Iwi consultation hui - Wharekauri
July – (August 1 st)	<ul style="list-style-type: none"> Finalise Annual Report Continue operational procedures activity Mandate hui Pānui 1 distributed Reo wānanga
August – 29 th	<ul style="list-style-type: none"> Work on agency protocols undertaken
September – 26 th	<ul style="list-style-type: none"> Trustee Hui and AHC directors hui Reo Wānanga Mau Rākau
October – 31 st	<ul style="list-style-type: none"> AGM hui
November – 28 th	<ul style="list-style-type: none"> Pānui 2 distributed Reo wānanga
January 2014 – 30 th	<ul style="list-style-type: none"> Policy Review Reo Wānanga Mau rākau
February 2014 – 27 th	<ul style="list-style-type: none"> Planning Hui (with AHC) Pūtea Whanāungatanga Iwi consultation hui – Wharekauri
March 2014 – 27 th	<ul style="list-style-type: none"> Finalise Annual Plan Pānui 3 distributed Reo Wānanga

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5. POLICIES ON SALES AND EXCHANGES OF SETTLEMENT QUOTA

Purpose

- 1.0 Within the settlement received by the Iwi Trust under the Maori Fisheries Act 2004 (the Act) was a significant amount of fish quota. The fish quota received as part of the settlement is referred to by the Act as "Settlement Quota".
- 1.1 The Act holds settlement quota to be different in nature than other purchased quota and puts in place restrictions on how it can be disposed of to recognise that it is a core part of the asset base to provide for both current and future generations of Iwi. Even if an Iwi does dispose of the settlement quota there are rules that mean it can only be disposed of to another recognised Maori Iwi group.
- 1.2 Iwi can sell or exchange settlement quota to other groups recognised under the Act.
- 1.3 The Act and the Trust Deed require that the Iwi Trust has a policy on sales and exchanges of settlement quota.
- 1.4 As the implementation of systems and procedures for the transfer of settlement quota is a developing area, Trustees wish to review the Iwi Trust's policy on sales and exchanges of settlement quota on an annual basis.

Current Situation

- 2.0 To date there have been no sales and exchanges of settlement quota. This is due to the timing of settlements, and that the Act prohibits disposals of settlement quota within two years of the settlement date. Another reason is that the procedures of Te Ohu Kaimoana (TOKM) to record sales and exchanges of settlement quota are still in their relative infancy.

Policy

- 3.0 The Iwi Trust's policy to apply for the year ended 31 March 2014 (or such time as it is reviewed) is that there be no sales or exchanges of settlement quota within this period.
- 3.1 The reason for this is to make sure TOKM procedures around the sales and exchanges are tested and proven effective and efficient before the Iwi Trust uses these processes.
- 3.2 Notwithstanding the prohibition on sales and exchanges for the year ended 31 March 2014, the Iwi Trust is prepared to review proposals by other Iwi organizations for input in setting future years policy.

6. CHANGES TO THE SETTLEMENT QUOTA POLICY THIS YEAR

There are no proposed changes to the policy on sales and exchanges of settlement quota this year. However, if the Iwi Trust or Asset Holding Company identified an opportunity or were approached by another mandated iwi organisation with a proposal, that proposal would be evaluated, if it was deemed to add sufficient value.

7. CHANGES TO COMPANY CONSTITUTIONAL DOCUMENTS

A register of areas where possible changes could be made in the future is being kept as issues arise, are mainly to simplify administration and remove any inconsistencies.

The Trust Deed and constitutions are complex documents, and were the first of their type developed against a changing legislative backdrop.

Consequently, there are a number of minor changes that are required to update references, and simplify wording.

During the course of the year the Trust may consider changes to the following sections of the Trust Deed:

- Address for service in relation to Elections
 - Schedule 3, 2.3 (c)
 - Schedule 3, 2.3 (e)
 - Schedule 3, 2.7 (iii)
 - Schedule 4, 1.3 (b) (iii)
- Change 'Secretary' to 'Returning Officer'
 - Schedule 3, 2.3 (c)
 - Schedule 3, 2.3 (e)
 - Schedule 4, 1.3 (b) (iii)